



RAW SEQUENCE LISTING ERROR REPORT

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Application Serial Number: 10/004,115
Source: OIPB
Date Processed by STIC: 8/12/0

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FOR CRF SUBMISSION QUESTIONS, PLEASE CONTACT MARK SPENCER, 703-308-4212.

FOR SEQUENCE RULES INTERPRETATION, PLEASE CONTACT ROBERT WAX, 703-308-4216.
PATENTIN 2.1 e-mail help: patin21help@uspto.gov or phone 703-306-4119 (R. Wax)
PATENTIN 3.0 e-mail help: patin3help@uspto.gov or phone 703-306-4119 (R. Wax)

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1. EFS-Bio (<<http://www.uspto.gov/ebc/efs/downloads/documents.htm>> , EFS Submission User Manual - ePAVE)
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Revised 01/29/2002



OICE

RAW SEQUENCE LISTING

DATE: 08/12/2002

PATENT APPLICATION: US/10/004,115

TIME: 16:10:55

Input Set : A:\72249.txt

Output Set: N:\CRF4\08122002\J004115.raw

4 <110> APPLICANT: ASAKO, HIROYUKI
 5 MATSUMURA, KENJI
 6 SHIMIZU, MASATOSHI
 7 ITO, NOBUYA
 8 WAKITA, RYUHEI
 10 <120> TITLE OF INVENTION: PROCESS FOR PRODUCING OPTICALLY ACTIVE
 11 4-HALO-3-HYDROXYBUTANOATE
 14 <130> FILE REFERENCE: 72249
 16 <140> CURRENT APPLICATION NUMBER: US 10/004,115
 C--> 17 <141> CURRENT FILING DATE: 2002-08-05
 19 <150> PRIOR APPLICATION NUMBER: JP 2000-372704
 20 <151> PRIOR FILING DATE: 2000-12-07
 22 <150> PRIOR APPLICATION NUMBER: JP 2001-006144
 23 <151> PRIOR FILING DATE: 2001-01-15
 25 <150> PRIOR APPLICATION NUMBER: JP 2001-026594
 26 <151> PRIOR FILING DATE: 2001-02-02
 28 <150> PRIOR APPLICATION NUMBER: JP 2001-175175
 29 <151> PRIOR FILING DATE: 2001-06-11
 31 <160> NUMBER OF SEQ ID NOS: 33
 33 <170> SOFTWARE: FastSEQ for Windows Version 3.0

ERRORED SEQUENCES

203 <210> SEQ ID NO: 6
 204 <211> LENGTH: 14
 205 <212> TYPE: PRT
 206 <213> ORGANISM: PENICILLIUM CITRINUM
 208 <400> SEQUENCE: 6
 E--> 209 Tyr Glu Asp Val Leu Xaa Xaa Ile Asp Asp Ser Leu Lys Arg
 210 1 5 10
 E--> 212 <210> SEQ ID NO: 8 } skipped sequence - seq. 3
 296 <210> SEQ ID NO: 15
 297 <211> LENGTH: 697
 298 <212> TYPE: DNA
 299 <213> ORGANISM: ESCHERICHIA COLI
 301 <400> SEQUENCE: 15
 E--> 302 cgctctaaaa ctantggatc ccccgggctg caggaattcg gcggcggcgg atccaacgga 60
 E--> 303 aanactttca cactgagcaa cggcgtcaaa attcctggcg tcggcttttg tacctncgct 120
 E--> 304 agtgaagggt ccaagggcga aacctatnct gctgtcacca ctgccctgaa aaccgggttac 180
 E--> 305 cgtnctttgg actgtgacct gtactacctg aacaaggggt aggttggtga gggntnccgt 240
 306 gacttctctga aggaaaaccc ctcggtgaag cgtgaggaca tcttcgtctg caccaagggtg 300
 E--> 307 tggaaccacc tccaccgtta tgaggacgtc ctctggtcca ttgacnactc cctgaagcgt 360

Xaa in sequence
See p. 4
n in sequence

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```

308  ctgggaacttg actacgttga tatgttcttc gttcactggc ccattgctgc cgaaaaaaat 420
E--> 309  ggccagggtg agcccaaaat tggccctgac ggcaaatacn tcntttctcaa ggacctgacc 480
E--> 310  gaaanccena ncccacctgg cgcgctatgg aaaaaatttn tgangatccc aaggccaggt 540
E--> 311  ccattggtgt ttccaattgg accattgccg accttgagaa gatgtccaag ttngccaagg 600
E--> 312  tnatgectca cgccaaccag atcgagattc accccttctt gcccaacgag gagctggtgc 660
E--> 313  agtactgctt ttccaagaac antatgcccg tagcgta 697
340 <210> SEQ ID NO: 18
341 <211> LENGTH: 331
342 <212> TYPE: DNA
343 <213> ORGANISM: ESCHERICHIA COLI
345 <400> SEQUENCE: 18
E--> 346  cgctctaaaa ctantggatc ccccgggctg caggaattcg gcggccgcgg atccttctac 60
347  cccatcatgt ctaacggaaa gactttcaca ttgagcaacg gcgtcaagat tccctggcgtc 120
348  ggctttggta ccttcgctag tgaaggttcc aagggcgaga cctatactgc tgtcaccact 180
349  gccctgaaga cgggttaccg tcacttggac tgtgcctggt actacctgaa cgagggtgag 240
350  gttggtgagg gttatccgtga cttctgaag gagaaccctt cgggtgaagcg tgaggacatc 300
351  ttctgttgc ccaaggtgtg gaaccacctc c 331
353 <210> SEQ ID NO: 19
354 <211> LENGTH: 743
355 <212> TYPE: DNA
356 <213> ORGANISM: ESCHERICHIA COLI
358 <400> SEQUENCE: 19
359  caaccagatc gagattcacc ccttctctgc caacgaggag ctggtgcagt actgcttctc 60
360  caagaacatt atgcccgtgg cctactctcc tctgggctcg cagaaccagg ttcccaccac 120
361  cgggtgagcg gtcagcgaga acaagactct gaacgagatc gccgagaagg gcggcaacac 180
362  ccttgctcag gttcttattg cctggggctc gcgcctggc tacgtcttcc tccccaagag 240
363  ctccaacccc aagcgcattg agtccaactt caagagcatt gagctctccg atgccgactt 300
364  tgaagccatc aatgccgttg ccaagggctg tcaacttccg ttogtcaaca tgaaggatac 360
365  ttctggatat gatgtctggc ccgaggagac cgccaagaac ctgtctgcgt gaatctctac 420
E--> 366  gaaattataa aatnacaccn acnaaaancc aaagcganag gatgatnccc aaaanttttg 480
E--> 367  agggtttctt ggttgaaaac gtttantgan cccgaantga angaatagat gancttgatt 540
E--> 368  tctccaaaaa aaaaaaaaaa aaaaacggtc cgcggccgct ccnngggggg gcccggttcc 600
E--> 369  caattcnccc cttatnattg aattcttttt taanggggnc aaattccncc nnatttccnt 660
E--> 370  cnanattggn nggcgcctc caaactttcn tcntnaaagg gncccaattc ccccccnaatt 720
E--> 371  aantggantt cctntttacc ttt 743
E--> 657 <210> SEQ ID NO: 32

```

ns in sequence

See p. 4

} See p. 3 - shipped sequence

RAW SEQUENCE LISTING ERROR SUMMARY
PATENT APPLICATION: US/10/004,115

DATE: 08/12/2002
TIME: 16:10:56

Input Set : A:\72249.txt
Output Set: N:\CRF4\08122002\J004115.raw

Skipped Sequences(NEW RULES):

Sequence(s)___missing. If intentional, please use the following format for each skipped sequence.

<210> sequence id number

<400> sequence id number

000

Seq#:7,31

VARIABLE LOCATION SUMMARY

DATE: 08/12/2002

PATENT APPLICATION: US/10/004,115

TIME: 16:10:56

Input Set : A:\72249.txt

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Use of n's or Xaa's(NEW RULES):

Use of n's and/or Xaa's have been detected in the Sequence Listing.

Use of <220> to <223> is MANDATORY if n's or Xaa's are present.

in <220> to <223> section, please explain location of n or Xaa, and which residue n or Xaa represents.

Seq#:6; Xaa Pos. 6,7

Seq#:9; N Pos. 3,6,9

Seq#:10; N Pos. 3,6,9

Seq#:11; N Pos. 3,6,9

Seq#:12; N Pos. 3,6,9

Seq#:13; N Pos. 3,6,9

Seq#:14; N Pos. 3,6,9

Seq#:15; N Pos. 14,63,116,148,185,235,346,460,463,485,489,491,520,524,593

Seq#:15; N Pos. 602,682

Seq#:18; N Pos. 14

Seq#:19; N Pos. 434,440,443,448,458,467,475,506,510,517,522,533,535,583,584

Seq#:19; N Pos. 607,616,634,639,648,651,652,659,662,664,670,671,690,693,695

Seq#:19; N Pos. 702,717,723,728,734

VERIFICATION SUMMARY

PATENT APPLICATION: US/10/004,115

DATE: 08/12/2002

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Input Set : A:\72249.txt

Output Set: N:\CRF4\08122002\J004115.raw

L:17 M:271 C: Current Filing Date differs, Replaced Current Filing Date
L:39 M:282 W: Numeric Field Identifier Missing, <212> is required.
L:201 M:300 W: (50) Intentionally skipped Sequence, : Sequence Id (5) SEQUENCE:
L:209 M:340 E: (46) "n" or "Xaa" used: Feature required, for SEQ ID#:6
L:212 M:214 E: (33) Seq.# missing, SEQ ID NO:7
L:234 M:258 W: Mandatory Feature missing, <221> not found for SEQ ID#:9
L:234 M:258 W: Mandatory Feature missing, <222> not found for SEQ ID#:9
L:234 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:9 after pos.:0
L:246 M:258 W: Mandatory Feature missing, <221> not found for SEQ ID#:10
L:246 M:258 W: Mandatory Feature missing, <222> not found for SEQ ID#:10
L:246 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:10 after pos.:0
L:258 M:258 W: Mandatory Feature missing, <221> not found for SEQ ID#:11
L:258 M:258 W: Mandatory Feature missing, <222> not found for SEQ ID#:11
L:258 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:11 after pos.:0
L:270 M:258 W: Mandatory Feature missing, <221> not found for SEQ ID#:12
L:270 M:258 W: Mandatory Feature missing, <222> not found for SEQ ID#:12
L:270 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:12 after pos.:0
L:282 M:258 W: Mandatory Feature missing, <221> not found for SEQ ID#:13
L:282 M:258 W: Mandatory Feature missing, <222> not found for SEQ ID#:13
L:282 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:13 after pos.:0
L:294 M:258 W: Mandatory Feature missing, <221> not found for SEQ ID#:14
L:294 M:258 W: Mandatory Feature missing, <222> not found for SEQ ID#:14
L:294 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:14 after pos.:0
L:302 M:340 E: (46) "n" or "Xaa" used: Feature required, for SEQ ID#:15
M:340 Repeated in SeqNo=15
L:346 M:340 E: (46) "n" or "Xaa" used: Feature required, for SEQ ID#:18
L:366 M:340 E: (46) "n" or "Xaa" used: Feature required, for SEQ ID#:19
M:340 Repeated in SeqNo=19
L:657 M:214 E: (33) Seq.# missing, SEQ ID NO:31